

# Shanshan Zhu

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DATE OF BIRTH: June 13, 1991

LAST UPDATE: Dec 2019

## RESEARCH INTERESTS

**Company Law**  
**Corporate Governance**  
**Corporate Culture**  
**Investor Protection Regulation**  
**Sustainable Finance**

## WORK EXPERIENCE

**Adjunct Professor of Company Law of Tourism** Sept 2019 present  
Master Degree in a Territory Valorization and Sustainable Tourism  
University of Genoa, Department of Formative Sciences, Corso A. Podestà 2, Genoa

**Postdoctoral Research Fellow** Sept 2019 present  
University of Genoa, Department of Law, Via Balbi 22, Genoa

**Sustainability Specialist** Jan 2018 present  
FinScience, Foro Bonaparte 71, 20121, Milan

**Legal traineeship** July 2015 August 2017  
Corporate and M&A Department  
Allen & Overy International Law Firm, Via Manzoni 41, 20121 Milan

## EDUCATION

**Ph.D., Law, University of Genoa** Nov 2015- May 2019  
Grant: Ph.D. Scholarship, University of Genoa  
Thesis: “*Corporate Governance of Banks: An interdisciplinary model to establish a sound culture*”  
Supervisor: Prof. Michele Siri

**LL.B. (5-year-long, J.D. equivalent)** July 2015  
Institution: University of Genoa  
Thesis: “*Corporate Governance and Enterprise Reform in China*”  
Supervisor: Prof. Guido Ferrarini  
Final grade: 110/110 cum laude and publication recommended

**High School Diploma** July 2010  
Institution: Liceo Classico Statale Andrea D’Oria, Via Armando Diaz 8, 16121 Genoa  
Final grade: 100/100

## OTHER COURSES

- Summer School in European Law and Law of the Sea (EULoS)** Sept 2019  
Università degli Studi di Genova
- International Summer School Banking & Capital Markets Law** June 2018  
Università Cattolica in Milan & Villa Vigoni at Como Lake
- Law and Economics Course for Doctoral Students** May June 2017  
“*Economic Analysis of Corporate Law*” by Professor Robert M. Daines  
Gerzensee Study Center, 3115 Gerzensee, Switzerland
- Summer Short-Term Chinese Language Program, Level IV** Aug 2016  
北京师范大学 (Beijing Shifan Daxue), Beijing
- Law and Economics Course for Doctoral Students** May 2016  
“*Governance, Risk and Compliance*” by Prof. Geoffrey Miller  
Gerzensee Study Center, 3115 Gerzensee, Switzerland
- Course in Human Rights** Feb March 2014  
University of Genoa, Department of Political Science
- Erasmus Intensive Programme Course** April 2012  
“*Mediation in Civil and Criminal Cases to Foster European Wide Settlement of Dispute*”  
Turība University, Latvia, Riga
- Final mark: 8**

## PUBLICATIONS

1. **FERRARINI, G. and ZHU, S.**, ‘Culture of Financial Institutions’, in **D. BUSCH, G. FERRARINI and G. VAN SOLINGE** (eds.), *Governance of Financial Institutions*, Oxford University Press, 2019, 381-414.

**Abstract:** In this chapter, we argue that in order to get to the roots of the problem and to build a sustainable financial system, legal and economic scholars should follow a new approach and analyse similar phenomena also through the lenses of other social sciences. Given that regulation per se cannot be expected to promote good corporate culture, we argue that the role of corporate governance should be enhanced so as to reinforce sustainable conduct within financial institutions. In addition, we suggest that supervisory authorities and institutional investors should make pressure on boards incentivizing them to design and implement a sustainable and ethical culture within financial institutions.

2. **SIRI, M. and ZHU, S.**, ‘EU Investor’, in **A. BARTOLINI et al.** (eds.), *Dictionary of Statutes within EU Law*, Springer, 2019, 209-217.

**Abstract:** This paper aims to define the current investor protection regime under European Union rules. The reforming process that involved the entire financial regulatory framework in the last decade clearly signed a shift towards a more ‘paternalistic’ approach, intended to prevent further episodes of financial misbehaviour. The article analyses the main regulatory innovations concerning (1) the disclosure of product information, (2) conduct of business (COB) rules, (3) product governance and intervention and (4) financial education.

3. ZHU, S. AND SIRI, M., 'Will the EU Commission successfully integrate sustainability risks and factors in the investor protection regime: A Research Agenda', *Sustainability* 11, Special Issue: Corporate Sustainability Reforms, 2019.

**Abstract:** this paper starts by briefly analyzing the recent developments of the regulation of sustainable finance at the global level, then offers a more detailed view on the establishment of a common regime on sustainable finance in the EU, with particular reference to the action plan 'Financing Sustainable Growth'. Then, it examines the recent proposals for regulation on sustainable finance, specifically considering the barriers to the integration of sustainability risks and factors in the EU investor protection regulation with particular reference to investment services with respect to its four main dimensions: (1) disclosure of product information, (2) conduct of business (COB) rules, (3) product governance and intervention, and (4) financial education. The paper concludes that the EU reforming proposals, though admirable, risk oversimplifying a complex issue that cannot be easily solved without considering its practical implications on each category of financial operators in the performance of different financial services.

## TALKS

*"Ethics & Culture in the Banking Sector: Identifying weak banks. Theoretical framework and hints from the Italian situation"*

Workshop on Ethics & Culture in the Financial Sector, Amsterdam

7 April 2017

## PAPER AND CHAPTER PRESENTATIONS

*"Will the EU Commission successfully integrate sustainability risks and factors in the investor protection regime?"*

Corporate Sustainability Reforms Conference (SMART Conference)

Kjerka, Domus Media, Faculty of Law, University of Oslo

24 Oct 2019

*"Governing Financial Institutions: The Role of Culture"*

25 - 26 January 2018

International Working Group (IWG) on Corporate Governance of

Financial Institutions (CGFI), at De Brauw Blackstone Westbroek, Amsterdam

## CONFERENCE ORGANIZATION

Workshop on Ethics and Culture in Finance (monthly meetings)

Oct 2016 - July 2018

University of Genoa, Department of Law, Via Balbi 22, Genoa

## TEACHING EXPERIENCE

**ACADEMIC YEAR 2019/20**

36 hr

Course: *Diritto delle imprese turistiche*

Master's Degree Course Territorial Valorization and Sustainable Tourism, University of Genoa,

**ACADEMIC YEAR 2016/17**

2 hr

Course: *Diritto commerciale II* (Company Law)

University of Genoa, Department of Law

**ACADEMIC YEAR 2016/17**

1 hr

Course: *Diritto commerciale II* (Company Law)

University of Genoa, Department of Law

**ACADEMIC YEAR 2015/16**

2 hrs

Course: *Diritto commerciale II* (Company Law)

University of Genoa, Department of Law

**ACADEMIC YEAR 2012/13**

“*Diritti fondamentali nella Cina del XXI secolo*”

1 hr

Course: *Diritti di libertà e diritti sociali* (Freedom and Social Rights)

University of Genoa, Department of Law

**PRIZES**

**Second prize winner**

May 2016

Contest “Premio Prof. Paolo Michele Erede (9<sup>th</sup> Edition)

“*L’occidente e lo scontro di civiltà*”

**Co-winner**

May 2009

Fiction and poetry contest “PAGINE NUOVE” (7<sup>th</sup> Edition)

Genova

**First prize winner**

Feb 2009

Poetry contest “*Parole per raccontare persone*”,

Villa Durazzo, Santa Margherita Ligure, at the presence of the special guest Niccolò Ammaniti

**LANGUAGES**

**Italian** (native speaker)

**English** (fluent)

*Certificate of Advanced English* (C1), University of Cambridge - ESOL

Nov 2013

**Chinese** (intermediate)

Chinese Proficiency Test HSK Level 4 (B2)

Hanban Confucius Institute Headquarters

Final grade: 240/300

April 2016

**VOLUNTARY ACTIVITY**

Business Communication Specialist and Copywriter  
TEDxGenova

2019 present

Human Rights Activist  
Amnesty International

2014 2015

Training course  
“*Becoming a peacekeeper*”

July Aug 2012

International Workcamp “*Antikriegshaus Sievershausen*, Germany

**REFEREES**

**Prof. Guido Ferrarini**

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Dept. of Law, University of Genoa

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**Prof. Michele Siri**

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University of Genoa

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